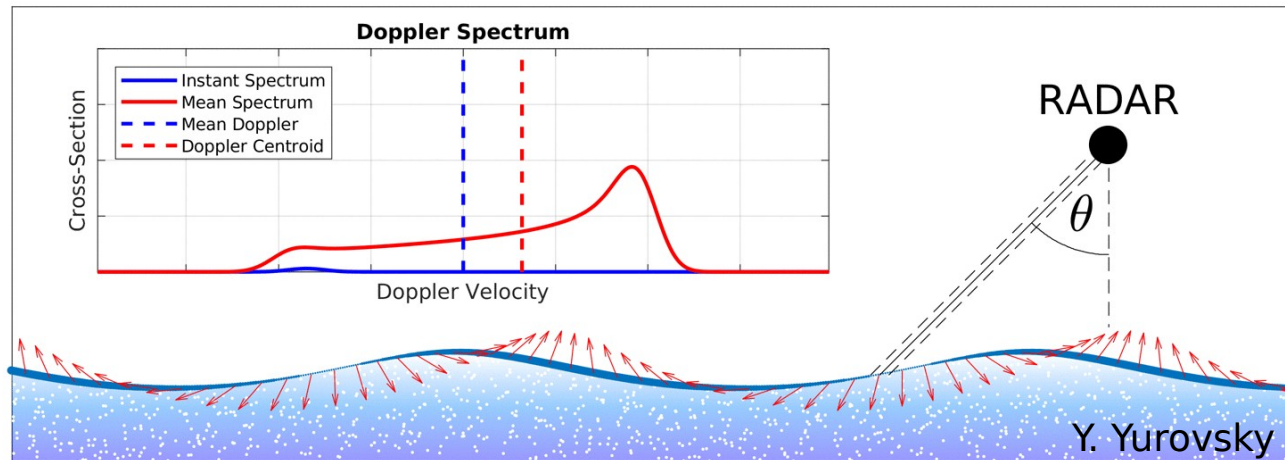


## Theoretical developments



Nouguier, Chapron, Collard, Mouche, Rascole, Arduin, Wu (2018)  
"Sea Surface Kinematics From Near-Nadir Radar Measurements"  
*IEEE TGRS*

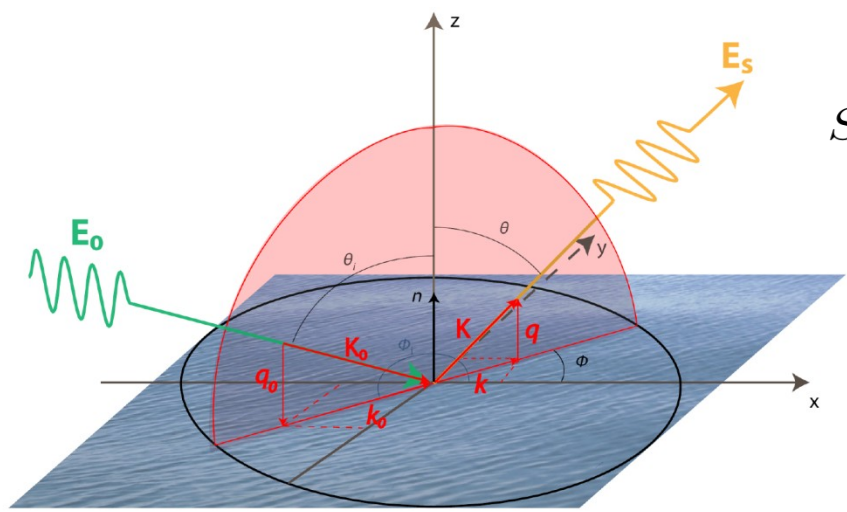


Figure 5: Diffraction (S. Guimbard)

$$S_{KA}(t) \propto \frac{\kappa e^{iK(R-ct)}}{Q_z (2\pi)^2} \int_A d\mathbf{r} e^{iQ_H \cdot \mathbf{r}} e^{iQ_z \eta(\mathbf{r}, t)}$$

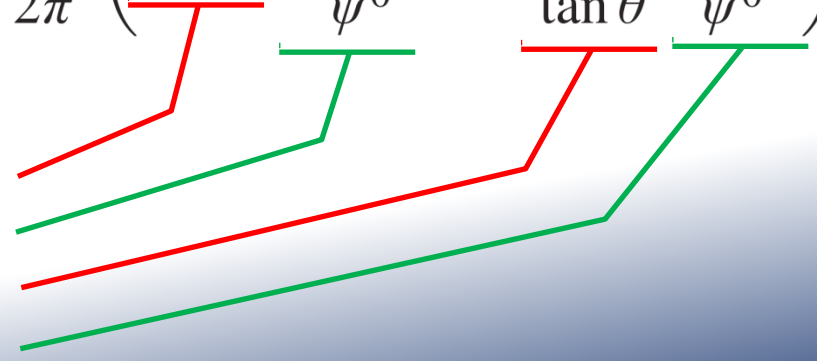
$$Q_H = k - k_0$$

$$Q_z = q + q_0$$

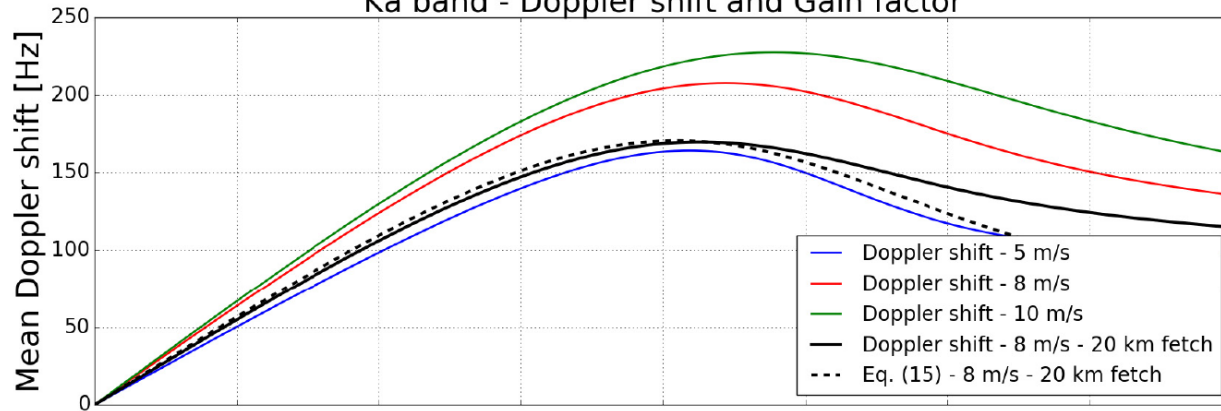
✓ **Sea-state Doppler frequency**

$$f_{GD} = \frac{Q_z}{2\pi} \left( \underbrace{mss_{xt}}_{\text{red}} \underbrace{\frac{\partial_{\tan \theta} \psi^0}{\psi^0}}_{\text{green}} + \frac{mss_{yt}}{\tan \theta} \frac{\partial_{\phi} \psi^0}{\psi^0} \right)$$

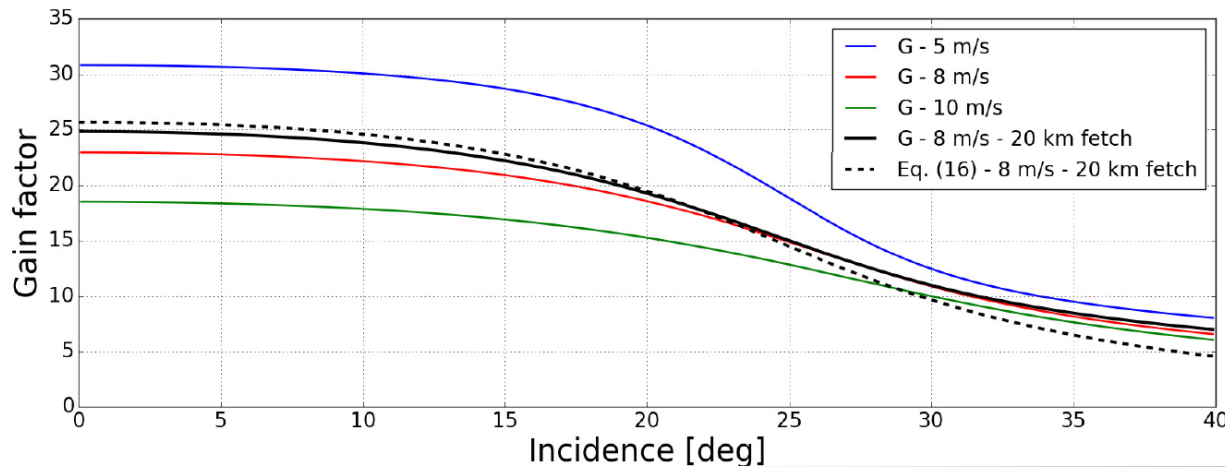
- Mean range slope-velocity cross-correlation
- NRCS incidence rate of variation
- Mean azimuth slope-velocity cross-correlation
- NRCS azimuthal rate of variation



Ka band - Doppler shift and Gain factor

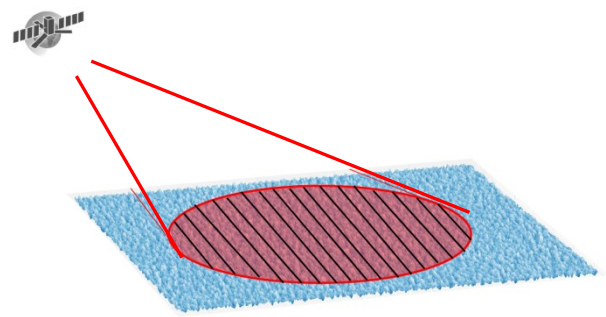


$$f_{GD} = \frac{Q_z}{2\pi} \left( m_{SSxt} \frac{\partial_{\tan \theta} \psi^0}{\psi^0} + \frac{m_{SSyt}}{\tan \theta} \frac{\partial_{\varphi} \psi^0}{\psi^0} \right)$$



$$G = -\frac{1}{2} \frac{2\pi f_{GD}}{Q_H m_{SSxt}}$$

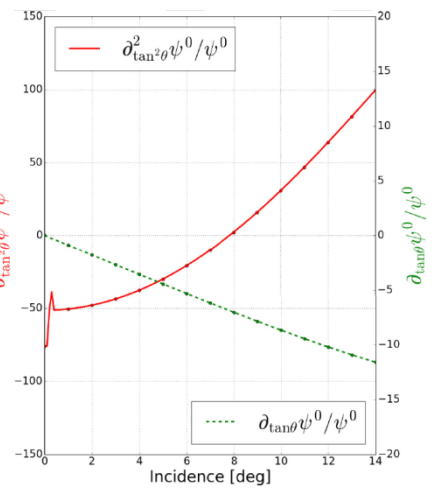
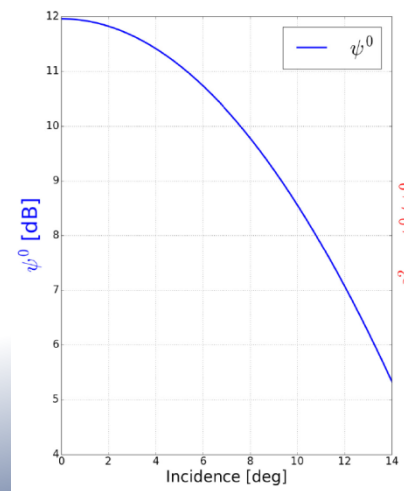
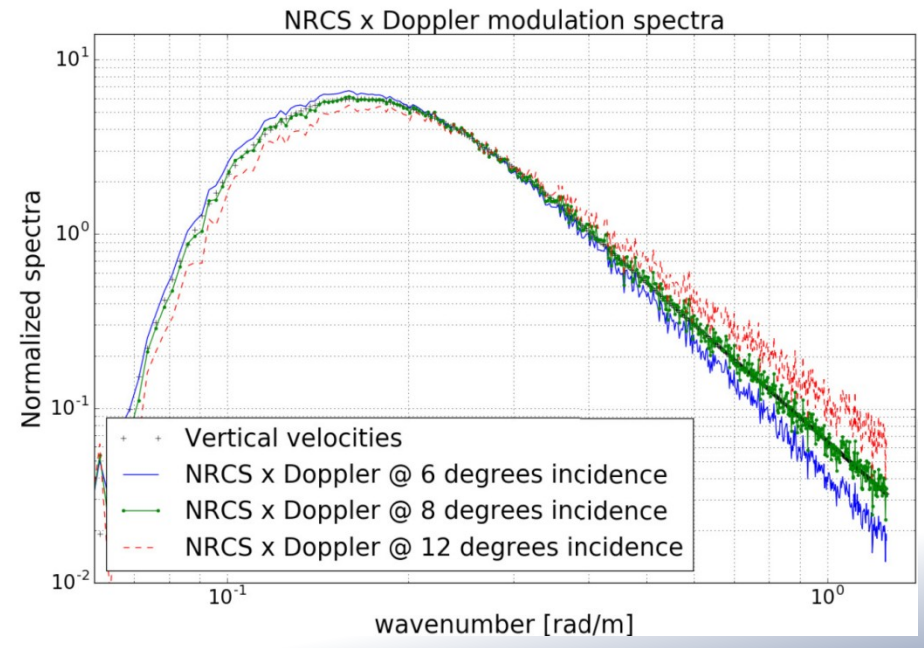
# Ifremer Range resolved measurements



$$\frac{\tilde{\psi}^0(x) \tilde{f}_{GD}(x)}{(2\pi)^{-1} \psi^0} = Q_z \frac{\partial_{\tan\theta} \psi^0}{\psi^0} \text{mss}_{xt} \quad \text{Mean wave Doppler}$$

$$- Q_z \frac{\partial_{\tan\theta} \psi^0}{\psi^0} \overline{\partial_x \eta_r(x)} \overline{\partial_t \eta_r(x)}$$

$$+ \underbrace{Q_z \overline{\partial_t \eta_r(x)}}_{\text{Resolved waves vertical velocities}} - \underbrace{Q_z \text{mss}_{xt} \overline{\partial_x \eta_r(x)}}_{\text{Slopes contribution}} \frac{\partial_{\tan^2\theta}^2 \psi^0}{\psi^0}$$



✓ **Sigma0 modulations (basic SWIM concept equation):**

$$\tilde{\sigma}^0(x) = \sigma^0 \left( 1 + \overline{\partial_x \eta}(x) \frac{\partial_{\tan \theta} \sigma^0}{\sigma^0} \right)$$

Range resolved

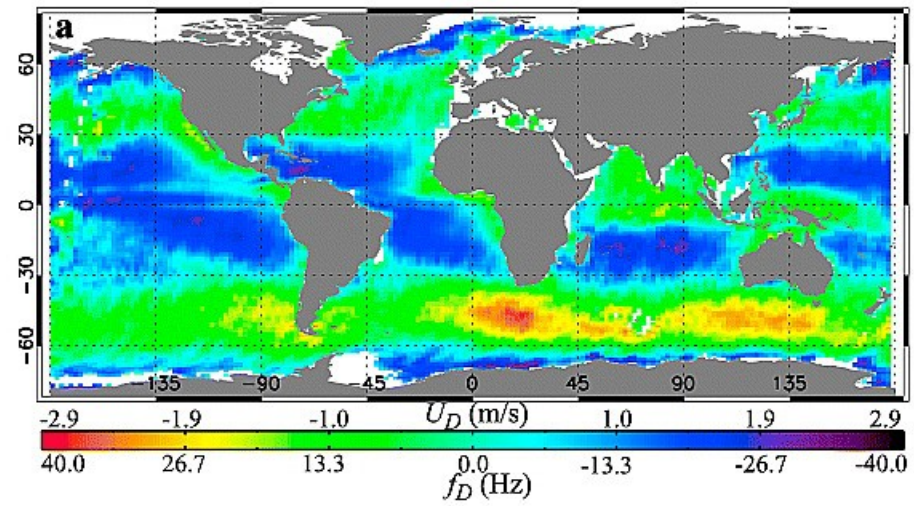
- wave slopes
- wave velocity

✓ **Doppler (velocity) modulations (basic SKIM equation):**

$$2\pi \widetilde{f_{GD}}(x) = Q_z \overline{\partial_t \eta}(x) + \frac{Q_z m_{SSxt}}{\tilde{\sigma}^0(x)} \left[ \partial_{\tan \theta} \sigma^0 + \overline{\partial_x \eta}(x) \frac{\partial_{\tan^2 \theta}^2 \sigma^0}{\sigma^0} \right]$$

✓ **Weighted Doppler modulations :**

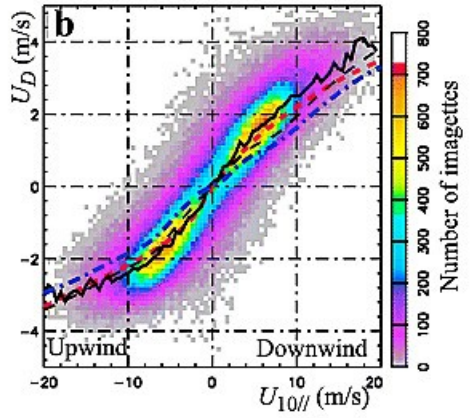
$$2\pi \frac{\tilde{\sigma}^0(x) \widetilde{f_{GD}}(x)}{\sigma^0} = Q_z \overline{\partial_t \eta}(x) + Q_z m_{SSxt} \overline{\partial_x \eta}(x) \frac{\partial_{\tan^2 \theta}^2 \sigma^0}{\sigma^0} + \dots$$

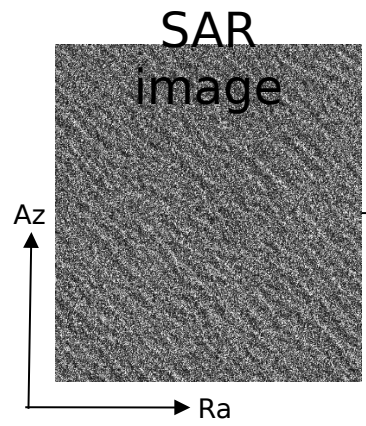


**Observations:**  
 — Peak value of  $U_D$

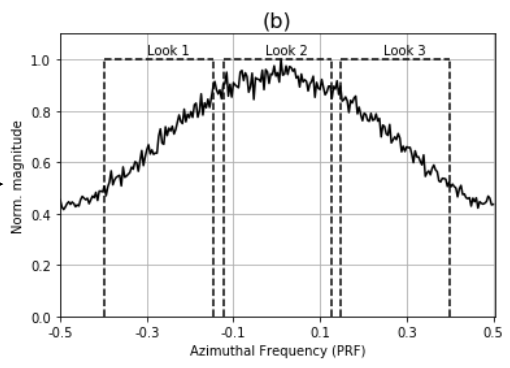
**Models:**  
 - -  $U_{D,mod}$  (eq. B17,  $\beta=0.016$ )  
 - · -  $U_{D,mod}$  (eq. B17, with  $\beta$  from KMC spectrum)

**Empirical fit:**  
 - · - fit with CMOD NRCS (eq. 9)





FT in azimuth  
h



Inverse FT

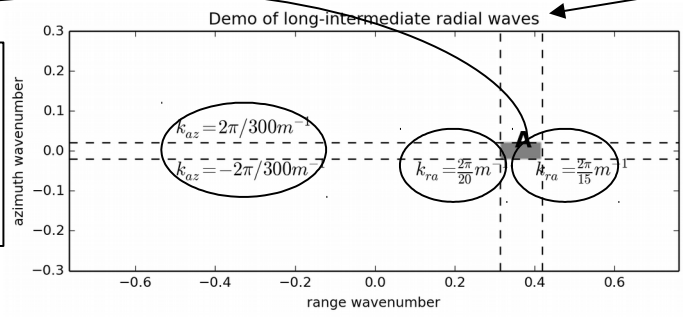
- Look -1  $I_1(\vec{x}, t_1)$
- Look -2  $I_2(\vec{x}, t_2)$
- Look -3  $I_3(\vec{x}, t_3)$

$$P_s^{(m,n)}(\vec{k}, \Delta t) = I_{(m)}(\vec{k}, t_m) \cdot I_{(n)}^*(\vec{k}, t_n), \quad m, n \in [1, 2, 3]$$

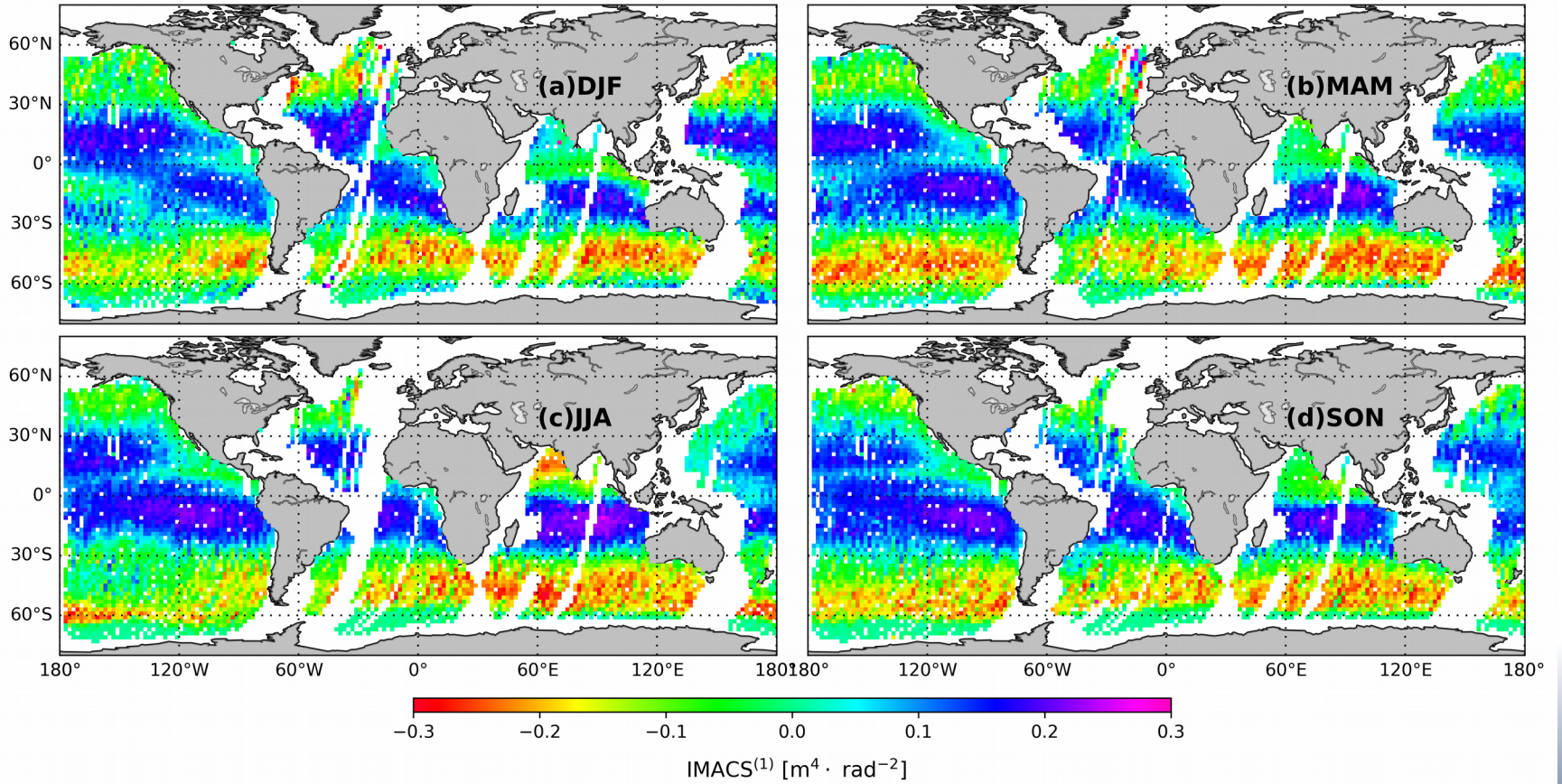
$$I_{(m)}(\vec{k}, t_m) = FT\{I_m(\vec{x}, t_m)\} \quad m \in [1, 2, 3]$$

$\Delta t$  is the separation time between two sublooks.

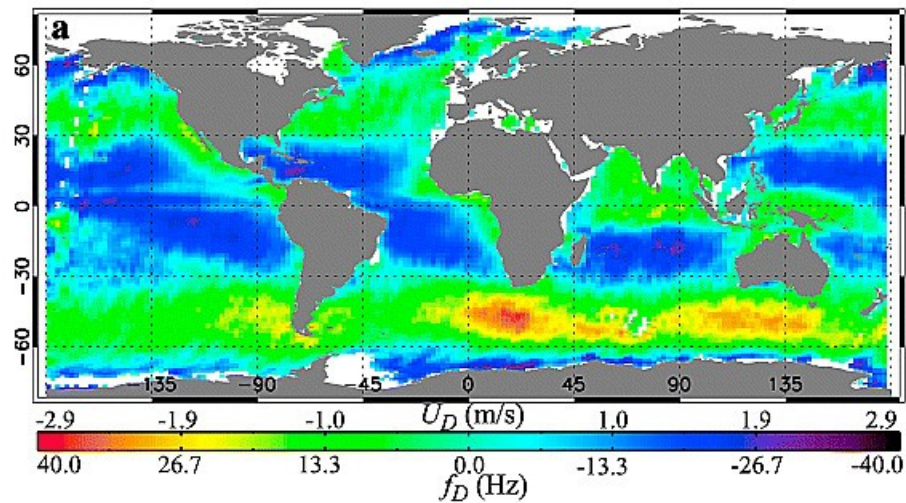
MeAn Cross-spectra (MACS) over range intermediate waves



Descending



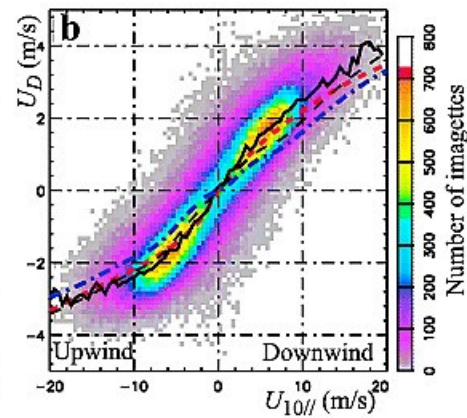




**Observations:**  
 — Peak value of  $U_D$

**Models:**  
 - -  $U_{D,mod}$  (eq. B17,  $\beta=0.016$ )  
 - · -  $U_{D,mod}$  (eq. B17, with  $\beta$  from KMC spectrum)

**Empirical fit:**  
 - · - fit with CMOD NRCS (eq. 9)



**Theoretical developments  
for  
 $\Delta k$  technique**

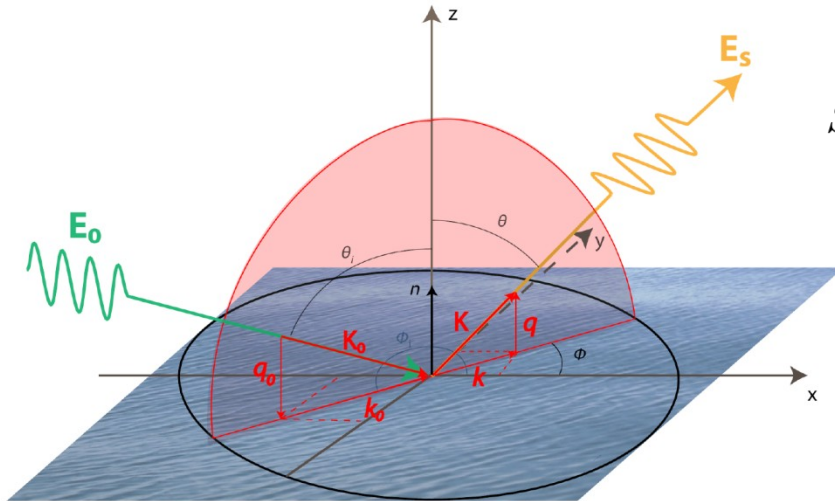


Figure 5: Diffraction (S. Guimbard)

$$S_{KA}(t) \propto \frac{\mathcal{K} e^{iK(R-ct)}}{Q_z (2\pi)^2} \int_A d\mathbf{r} e^{i\mathbf{Q}_H \cdot \mathbf{r}} e^{iQ_z \eta(\mathbf{r}, t)}$$

$$Q_H = \mathbf{k} - \mathbf{k}_0 \quad \begin{cases} \Delta K & = |\mathbf{K}^+| - |\mathbf{K}^-| \\ Q_H^\pm & = Q_H \pm \Delta Q_H \\ Q_z^\pm & = Q_z \pm \Delta Q_z \end{cases}$$

✓ **Delta-k correlation function:**

$$C^{\Delta k}(\tau) = \langle \mathbf{E}(\mathbf{K}^+, t) \mathbf{E}^*(\mathbf{K}^-, t + \tau) \rangle$$

$$C^{\Delta k}(Q_H, Q_z, \tau, \nu) \propto \psi e^{2\Delta Q_z^2 \rho(\mathbf{0}, 0)} \int_{L_x} e^{-2i\Delta Q_H \chi} e^{2i\Delta Q_z \bar{\eta}(\chi, \nu)} e^{iQ_z \tau \partial_t \bar{\eta}(\chi, \nu)} d\chi \times$$

$$\int_A e^{-i(Q_H - Q_z \partial_x \bar{\eta}(\chi, \nu)) \xi_x} e^{(Q_z^2 - \Delta Q_z^2)(\rho(\mathbf{0}, 0) - \rho(\xi, \tau))} d\xi$$

$$\tau = t - t'$$

$$\xi = (\xi_x, \xi_y) = (x - x', y - y')$$

$$\nu = t + t'$$

$$\chi = x + x'$$

Mean range elevation profile

Mean range vertical velocities profile

Mean range slope profile

Surface correlation function

$$C^{\Delta k}(Q_H, Q_z, \tau, \nu) \propto \psi e^{2\Delta Q_z^2 \rho(\mathbf{0},0)} \int_{L_x} e^{-2i\Delta Q_H \chi} e^{2i\Delta Q_z \bar{\eta}(\chi, \nu)} e^{iQ_z \tau \partial_t \bar{\eta}(\chi, \nu)} d\chi \times$$

$$\int_A e^{-i(Q_H - Q_z \partial_x \bar{\eta}(\chi, \nu)) \xi_x} e^{(Q_z^2 - \Delta Q_z^2)(\rho(\mathbf{0},0) - \rho(\xi, \tau))} d\xi$$

$$\tau = t - t'$$

$$\xi = (\xi_x, \xi_y) = (x - x', y - y')$$

$$\nu = t + t'$$

$$\chi = x + x'$$

Mean range elevation profile

Mean range vertical velocities profile

Mean range slope profile

Surface correlation function

Temporal co-location of  $\Delta k$  Echos

$$\tau = 0$$

$$C^{\Delta k}(\nu) \simeq \psi e^{2\Delta Q_z^2 \rho(\mathbf{0},0)} \int_{L_x} e^{-2i\Delta Q_H \chi} (1 + 2i\Delta Q_z \bar{\eta}(\chi, \nu)) \zeta^0(\chi, \nu) d\chi$$

$$C^{\Delta k}(\mathbf{Q}_H, Q_z, \tau, \nu) \propto \psi e^{2\Delta Q_z^2 \rho(\mathbf{0}, 0)} \int_{L_x} e^{-2i\Delta Q_H \chi} e^{2i\Delta Q_z \bar{\eta}(\chi, \nu)} e^{iQ_z \tau \partial_t \bar{\eta}(\chi, \nu)} d\chi \times$$

$$\int_A e^{-i(Q_H - Q_z \partial_x \bar{\eta}(\chi, \nu)) \xi_x} e^{(Q_z^2 - \Delta Q_z^2)(\rho(\mathbf{0}, 0) - \rho(\xi, \tau))} d\xi$$

$$\tau = t - t'$$

$$\xi = (\xi_x, \xi_y) = (x - x', y - y')$$

$$\nu = t + t'$$

$$\chi = x + x'$$

Mean range elevation profile

Mean range vertical velocities profile

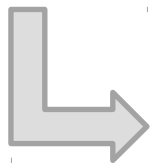
Mean range slope profile

Surface correlation function

Temporal co-location of  $\Delta k$  Echos

$$\tau = 0$$

$$C^{\Delta k}(\nu) \simeq \psi e^{2\Delta Q_z^2 \rho(\mathbf{0}, 0)} \int_{L_x} e^{-2i\Delta Q_H \chi} (1 + 2i\Delta Q_z \bar{\eta}(\chi, \nu)) \zeta^0(\chi, \nu) d\chi$$



$$D(\omega) = \int e^{i\omega \nu} C^{\Delta k}(\nu) d\nu$$

**HF-like Doppler spectrum  
which peaks at  
 $\Delta Q_H$  wave frequency**